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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/875,261

06/05/2001

Todd F. Mozer

16757-000800US

CONFIRMATION NO. 4605

FORMALITIES LETTER

OC000000006382485*

TOWNSEND AND TOWNSEND AND CREW -TWO EMBARCADERO CENTER **EIGHTH FLOOR** SAN FRANCISCO, CA 94111-3834

Date Mailed: 08/03/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$207.
 - \$207 for 23 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 627.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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01/03/2002 KZEWDIE

Please type a plus sign (+) inside this box -



PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Paten '1 Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to ε_{t arr}action of information unless it displays a valid OMB control number.

| PA | | Applic | ation N_nber | 09/875,261 | | | | |
|--|--------------------------|--|--------------------------------------|---|--|--|--|--|
| TRANSMITT | 4L | Filing | Date - | June 5, 2001 | | | | |
| FORM | | First Named Inventor | | Mozer, Todd F. | | | | |
| (to be used for all correspondence as | ter initial filing) | Group | Art Unit | 2641 | | | | |
| | | Exami | ner Name | To Be Assigned | | | | |
| Total Number of Pages in This Submis | ssion 1 | Attorn | ey Docket Number | 016757-000800US | | | | |
| | ENCLO | OSURES | (check all that apply) | | | | | |
| Fee Transmittal Form | | ment Pap Application | | After Allowance Communication to Group | | | | |
| Fee Attached | ☐ Drawin | g(s) | | Appeal Communication to Board of Appeals and Interferences | | | | |
| Amendment / Response | | ing-relate | • | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | |
| After Final | and Ac | company | Slip (PTO/SB/69) ring Petition | Proprietary Information | | | | |
| Affidavits/declaration(s) | | n to Conv onal App | | Status Letter | | | | |
| Extension of Time Request | | | ey, Revocation espondence Address | Other Enclosure(s) (please identify below): | | | | |
| Express Abandonment Request | | al Disclai st for Ref | | Declaration; Power of Attorney; Statement Under 3.73(b) w/attached copy of Assignment; Supplemental Application | | | | |
| ☐ Information Disclosure Statement | CD, Nu | umber of | CD(s) | Data Sheet; Copy of Notice of Missing Parts; Return Postcard | | | | |
| Certified Copy of Priority Document(s) | Rema | The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. | | | | | | |
| Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 | August 3, 2 identified c | to the Notice to File Missing Parts of Non-Provisional Application dated 3, 2001, the enclosures listed above are to be made of record in the aboved case. The fees required are listed on the attached Fee Transmittal to be d from our Deposit Account listed above. | | | | | | |
| | | | ANT, ATTORNEY, O | R AGENT | | | | |
| Firm Townsend and Townsend and Crew LLP and Chad R. Walsh Reg. No. 43,235 | | | | | | | | |
| Signature Ch- | R. L | 1 | | | | | | |
| Date October 30, 2 | 001 | | | | | | | |
| CERTIFICATE OF MAILING | | | | | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: October 30, 2001 | | | | | | | | |
| Typed or printed name Krista K. | Merrimac | | | | | | | |
| Signature | ista M | Oly | imac | Date October 30, 2001 | | | | |

Burden Hour Statement: This form is astimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3180090 v1

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (09-00) red for use through 10/31/2002. OMB 0651-0032 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| / | FE | E TRANSMITTAL |
|-------|--------|---|
| /0. | July 6 | for FY 2001 |
| DEC 3 | | Patent fees are subject to annual revision. |

OTAL AMOUNT OF PAYMENT

697

| Complete If Known | | | | | | |
|-----------------------|-----------------|--|--|--|--|--|
| Application Number | 09/875,261 | | | | | |
| Filing Date | June 5, 2001 | | | | | |
| First Named Inventor | Mozer, Todd F. | | | | | |
| Examiner Name | To Be Assigned | | | | | |
| Gro t Unit | 2641 | | | | | |
| Atto by Docket No. | 016757-000800US | | | | | |

| METHOD OF PAYMENT | | | | | | FEE CALCULATION (continued) | | | | | | |
|---|---|--------------|---------------|---------------------------------------|--|-----------------------------|-------------|--|---------------------------|--------|--|----|
| The Commissioner is hereby authorized to charge | | | | | | 3. ADDITIONAL FEES | | | | | | |
| 1. | indicated fees and credit any over payments to: | | | Large Entity Small Entity | | | | | | | | |
| | Deposit 00.4420 | | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | | | |
| Accou Numb | | 20- | 1430 | | | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 65 |
| | | | | | | | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | |
| Deposit Account Townsend and Townsend and Crew LLP | | | | | 139 | 130 | 139 | 130 | Non-English specification | | | |
| Account Townselld and Yownselld and Clew ELF | | | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | | | |
| | harge An Jnder 37 | | | Required .17 | | | 112 | 920* | 112 | 920° | Requesting publication of SIR prior to Examiner action | |
| _ | Applicant See 37 C | | | ity status. | | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 2. | Payme | nt Encl | osed: | | | , | 115 | 110 | 215 | 55 | Extension for reply within first month | 55 |
| ، ت | Check | ☐ Cr | redit card | | | ther | 116 | 400 | 216 | 200 | Extension for reply within second month | |
| | | | | Order | | | 117 | 920 | 217 | 460 | Extension for reply within third month | |
| 1. B | ASIC FI | LING FE | | CALCULATION | | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | |
| Large | Entity | | Entity | | | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | |
| Fee | Fee | Fee | Fee | Fee Description | n | | 119 | 320 | 219 | 160 | Notice of Appeal | |
| Code | | Code | (\$) | | - | Fee Paid | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | |
| 101 | | 201 | 370 | Utility filing fee | Į. | 370 | 121 | 280 | 221 | 140 | Request for oral hearing | |
| 106 107 | | 206 207 | 165 255 | Design filing fee Plant filing fee | ' | | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 108 | 740 | 208 | 370 | Reissue filing fee | :е [| | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | |
| 114 | 160 | 214 | 80 | Provisional filing | j fee | | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | |
| | | ٠. | | | _ | (2:270 | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | |
| | | 50 | UBTOTA | 1L (1) | L | (\$)370 | 143 | 460 | 243 | 230 | Design issue fee | |
| 2. EXTR | A CLAII | M FEES | | | | | 144 | 620 | 244 | 310 | Plant issue fee | |
| | | | | | e from | Fee | 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| Total Claim | ns 43 | 20* | •• = [| | \$9 = | Paid = \$207 | 123 | 50 | 123 | 50 | Petitions related to provisional applications | |
| Independent Claims | t 3 | -3** | • = | 0 X \$ | \$42 = | = \$0 | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| Multiple Dependent | | _ | | × | | : | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| Large Fee | Entity Fee | Small Fee | Entit Fee | ` | 41 | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| Code 103 | (\$) 18 | Code 203 | (\$) | Fee Descript Claims in exc | | | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 102 | 84 | 202 | 42 | Independent | | xcess of 3 | | | | | , | |
| 104 | 280 | 204 | 140 |) Multiple depe | endent claim | n, if not paid | 179 | 740 | 279 | 370 | Request for Continued Examination (RCE) | |
| 109 | 84 | 209 | 42 | ** Reissue ind original paten | | claims over | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| 110 18 210 9 ** Reissue claims in excess of 20 and over original patent SUBTOTAL (2) (\$)207 | | | | | Other fee (specify) | | | | | | | |
| | | | | | The Commissioner is authorized to charge any additional fees to the above noted Deposit Account. | | | | | | | |
| **or number previously paid. if greater: For Reissues, see above | | | | | *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)120 | | | | | | | |

| 4 | SUBMITTED BY | Complete (if applicable) | | | | | | | |
|---|-------------------|--------------------------|-----------------------------------|--------|-----------|------------------|--|--|--|
| | Name (Print/Type) | Chad R. Walsh | Registration No. (Attorney/Agent) | 43,235 | Telephone | 650-326-2400 | | | |
| | Signature | Chand (| 2 Wohl | | Date | October 30, 2001 | | | |

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